# SULLIVAN, MOUNTJOY, STAINBACK & MILLER PSC

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March 16, 2012

RECEIVED

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PUBLIC SERVICE COMMISSION

Mr. Jeff DeRouen Executive Director Public Service Commission 211 Sower Boulevard, P.O. Box 615 Frankfort, Kentucky 40602-0615

RE: NOTICE OF FILING BY BIG RIVERS ELECTRIC CORPORATION
OF TARIFF ADDITIONS FOR DEMAND-SIDE MANAGEMENT
AND ENERGY EFFICIENCY PROGRAMS

Dear Mr. DeRouen:

Enclosed on behalf of Big Rivers Electric Corporation ("Big Rivers") are an original and four copies of Big Rivers' new tariff sheets PSC No. 24, Sheets 2.01 through 2.15 and a revised tariff table of contents that includes references to the new tariff sheets. The new tariff sheets implement certain demand-side management and energy efficiency programs and respond to the letter from the Public Service Commission ("Commission") on that subject dated November 29, 2011. This letter and the attached tariffs have also been filed electronically on the date hereof. I certify that a copy of this Notice and Application have been served on the Kentucky Attorney General, Office of Rate Intervention, and all persons on the attached service list.

Please note that in addition to serving copies of orders, pleadings and other documents filed in this case on the undersigned, please also serve Big Rivers' management as follows:

Albert Yockey Big Rivers Electric Corporation 201 Third Street (42420) P.O. Box 24 (42419-0024) Henderson, KY

Telephone (270) 926-4000 Telecopier (270) 683-6694

> 100 St. Ann Building PO Box 727 Owensboro, Kentucky 42302-0727

# SULLIVAN, MOUNTJOY, STAINBACK & MILLER PSC

Jeff DeRouen March 16, 2012 Page 2

Because this filing involves unique circumstances as outlined in the Commission's November 29, 2011 letter, Big Rivers requests that this filing be accepted as made and that, to the extent this filing does not comply with any requirement of 807 KAR 5:011, the Commission permit Big Rivers to deviate from those rules pursuant to 807 KAR 5:011 Section 14.

Sincerely yours,

James M. Miller

Counsel for Big Rivers Electric Corporation

Enclosures

Copies to: Mark A. Bailey

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Albert Yockey

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March 26, 2012

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SECTION 4 – Definitions

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•	P.S.C. KY. No.	24		
	Original	SHEET NO	2.01	
Big Rivers Electric Corporation (Name of Utility)	CANCELLING P.S.C. KY. No.			
	SHEET NO			
DATES TEDA	48 AND CONDITIONS SECTION	)N 1		

#### DSM-01

# High Efficiency Lighting Replacement Program

# Purpose

This program promotes an increased use of ENERGY STAR® rated Compact Fluorescent Light ("CFL") lamps among Rural Customers by reimbursing a Member the cost of CFL lamps purchased and distributed by the Member to its eligible Rural Customers.

#### Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

#### Eligibility

An eligible Rural Customer is a Member's Rural Customer in the Member's service area.

#### **Member Incentives**

Big Rivers will reimburse a Member the cost of CFL lamps purchased and distributed by the Member to its eligible Rural Customers. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of a paid CFL supplier invoice, and acceptable documentation that those CFLs have been or will be distributed to eligible Rural Customers of the Member.

#### **Evaluation**, Measurement and Verification

Big Rivers will initiate a process of evaluation, measurement and verification for the program. The process will ensure the quality and effectiveness of the program and optimal use of resources.

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DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE _ April 16, 2012	
mana.	Sailey		
ICCLIED BY		Mark A Bailey President and Chief Executive Officer	-

For All Territory Served By
Cooperative's Transmission System
P.S.C. KY. No. 24

Original SHEET NO. 2.02

CANCELLING P.S.C. KY. No.

SHEET NO.

Big Rivers Electric Corporation
(Name of Utility)

# RATES, TERMS AND CONDITIONS - SECTION 1

#### STANDARD RATE - RDS - Rural Delivery Service - (continued)

#### DSM-02

**ENERGY STAR® Clothes Washer Replacement Incentive Program** 

#### Purpose

This program promotes an increased use of ENERGY STAR® rated clothes washing machines ("Qualifying Clothes Washer") among Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer, who purchases and installs a Qualifying Clothes Washer.

#### **Availability**

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

#### Eligibility

An eligible Rural Customer is a Member's Rural Customer who purchases and installs a Qualifying Clothes Washer in the Member's service area.

#### **Member Incentives**

Big Rivers will reimburse a Member an incentive payment of \$100 for each Qualifying Clothes Washer purchased and installed by an eligible Rural Customer in the Member's service area. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of a paid invoice from a legitimate retail appliance supplier for purchase and installation of a Qualifying Clothes Washer on the premises of an eligible Rural Customer of the Member in the Member's service area.

#### **Evaluation, Measurement and Verification**

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012
marka.	Sailer		
ISSUED BY	Mark Big Rivers Elec	A. Bailey, President and Chief Executive Corporation, 201 Third Street,	cutive Officer Henderson, KY 42420

	For All Territory Served By Cooperative's Transmission System			
	P.S.C. KY. No. Original	24		
		SHEET NO	2.03	
Big Rivers Electric Corporation (Name of Utility)	CANCELLING P.S.C. KY. No.			
	SHEET NO			
RATES TERM	AS AND CONDITIONS - SECTION	N 1		

#### **DSM-03**

**ENERGY STAR® Refrigerator Replacement Incentive Program** 

#### Purpose

This program promotes an increased use of ENERGY STAR® rated refrigerators ("Qualifying Refrigerator") among Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer, who purchases and installs a Qualifying Refrigerator and removes from operation and recycles an existing older, low-efficiency refrigerator.

# Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

#### Eligibility

An eligible Rural Customer is a Member's Rural Customer who purchases and installs a Qualifying Refrigerator and removes from operation and recycles an existing refrigerator.

#### **Member Incentives**

Big Rivers will reimburse a Member an incentive payment of \$100 for each Qualifying Refrigerator that is purchased and installed by an eligible Rural Customer in the Member's service area, in conjunction with removing from operation and recycling an existing refrigerator. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of a paid invoice from a legitimate retail appliance supplier for purchase and installation of a Qualifying Refrigerator on the premises of an eligible Rural Customer of the Member in the Member's service area, and acceptable documentation that an older refrigerator has been removed from operation and recycled.

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012
ISSUED BY		Bailey, President and Chief Exercic Corporation, 201 Third Street	

	For All Territory Served By Cooperative's Transmission System		
	P.S.C. KY. No.	24	
	Original	SHEET NO.	2.04
Big Rivers Electric Corporation	CANCELLING P.S.	C. KY. No.	
(Name of Utility)		SHEET NO.	
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DSM-03 (continued) **ENERGY STAR® Refrigerator Replacement Incentive Program** 

# **Evaluation, Measurement and Verification**

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE April 16, 2012	
Marke. 7	Zieley		
ISSUED BY		A. Bailey, President and Chief Executive Officer	

For All Territory Served By
Cooperative's Transmission System
P.S.C. KY. No. 24

Original SHEET NO. 2.05

CANCELLING P.S.C. KY. No.
SHEET NO.

Big Rivers Electric Corporation (Name of Utility)

#### RATES, TERMS AND CONDITIONS - SECTION 1

# STANDARD RATE - RDS - Rural Delivery Service - (continued)

#### **DSM-04**

Residential High Efficiency Heating, Ventilation and Air Conditioning ("HVAC") Program

#### Purpose

This program promotes an increased use of high efficiency HVAC systems among Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer who purchases and installs an HVAC system beyond contractor grade minimums to one of three types of ENERGY STAR® rated HVAC systems ("Qualified System").

#### Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

#### **Eligibility**

An eligible Rural Customer is a Member's Rural Customer who upgrades an HVAC system located in the Member's service area to one of three types of Qualified Systems.

#### **Member Incentives**

Big Rivers will reimburse a Member an incentive payment based on the following table for purchase and installation by one of its Rural Customers of a Qualified System HVAC upgrade located in the Member's service area. The incentive paid for each Qualified System of one of the following types shall be:

Geothermal	\$ 750
Dual Fuel	\$ 500
Air Source	\$ 200

Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012	
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ISSUED BY		Mark A. Bailey, President and Chief Ex-	ecutive Officer	

	For All Territory Served By Cooperative's Transmission System		
	P.S.C. KY. No.	24	
	Original	SHEET NO	2.06
Big Rivers Electric Corporation	CANCELLING P.S.	C. KY. No.	
(Name of Utility)		SHEET NO.	
RATES, TERMS	S AND CONDITIONS – SECTIO	DN 1	

DSM-04 (continued)
Residential High Efficiency Heating, Ventilation and Air Conditioning ("HVAC") Program

## **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of a receipt of purchase and installation of a Qualified System from a licensed contractor, along with a certificate from the Member verifying installation of the Qualified System on the premises of a Rural Customer in the Member's service area.

# **Evaluation, Measurement and Verification**

Big Rivers will initiate a process of evaluation, measurement and verification for the program. The process will ensure the quality and effectiveness of the program and optimal use of resources.

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012	
marke Ce.	Sale			
ISSUED BY		Mark A. Bailey, President and Chief Ex	ecutive Officer	

	For All Territory Served By Cooperative's Transmission System		
	P.S.C. KY. No.	24	
	Original	SHEET NO.	2.07
Big Rivers Electric Corporation (Name of Utility)	CANCELLING P.S.	.C. KY. No.	
		SHEET NO.	
DATES TED	MS AND CONDITIONS SECTION	NI 1	

# DSM-05 Residential Weatherization Program

#### Purpose

This program promotes increased implementation of weatherization improvements among Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer, who undertakes and completes residential weatherization improvements in accordance with this program.

#### Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

## Eligibility

An eligible Rural Customer is a Member's Rural Customer who undertakes and completes weatherization improvements in accordance with this program at the Rural Customer's all-electric home located in the Member's service area.

#### **Member Incentives**

Big Rivers will provide 50% of the cost of residential weatherization improvements performed in accordance with this program. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

#### **Terms & Conditions**

- 1. Big Rivers will contract with a third party contractor ("Contractor") that performs weatherization projects for electric utilities.
- 2. The Member will promote the program, and select Rural Customer names to submit to Contractor.

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ISSUED BY	Mark Big Rivers Ele	k A. Bailey, President and Chief Executive Officer lectric Corporation, 201 Third Street, Henderson, KY 42420	

	For All Territory Served By Cooperative's Transmission System		
	P.S.C. KY. No.	24	
	Original	SHEET NO.	2.08
Big Rivers Electric Corporation	CANCELLING P.S.	.C. KY. No.	
(Name of Utility)		SHEET NO	
RATES, TERM	S AND CONDITIONS – SECTION	ON 1	

DSM-05 (continued)
Residential Weatherization Program

#### **Terms & Conditions** (continued)

- 3. Contractor will contact the Rural Customers from the names provided, and manage the weatherization process.
- 4. Big Rivers will pay the Contractor \$150 for the initial audit.
- 5. Big Rivers will also pay \$3 per installed CFL bulb and \$10 per installed low-flow aerator, or low-flow shower head, as part of the initial audit. Reimbursement will be limited to the following:

CFL Bulbs Twenty (20) per Rural Customer's residence
Low-Flow Aerator Two (2) per Rural Customer's residence
Low-Flow Shower Head One (1) per Rural Customer's residence

## **Evaluation, Measurement and Verification**

Big Rivers will initiate a process of evaluation, measurement and verification for the program. The process will ensure the quality and effectiveness of the program and optimal use of resources.

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012	
mark Ce.	Jailey			
ISSUED BY		Mark A. Bailey, President and Chief Exc	ecutive Officer	

Big Rivers Electric Corporation, 201 Third Street, Henderson, KY 42420

	For All Territory Served By Cooperative's Transmission System			
	P.S.C. KY. No.	24		
	Original	SHEET NO.	2.09	_
Big Rivers Electric Corporation	CANCELLING P.S.	C. KY. No.		_
(Name of Utility)		SHEET NO		
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## RATES, TERMS AND CONDITIONS - SECTION 1

## STANDARD RATE - RDS - Rural Delivery Service - (continued)

#### **DSM-06**

Touchstone Energy® New Home Program

# Purpose

This program promotes an increased use of energy efficient building standards as outlined in the Touchstone Energy® certification program, including installation of high efficiency ENERGY STAR® rated HVAC systems, among Rural Customers and home builders by paying a Member an incentive for the benefit of an eligible Rural Customer whose new home includes an HVAC system beyond contractor grade minimums that is one of four specified types of ENERGY STAR® rated HVAC systems ("Qualified System").

#### **Availability**

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

# Eligibility

An eligible Rural Customer is a Member's Rural Customer whose new Touchstone Energy® Certified residence includes a Qualified System.

#### **Member Incentives**

Big Rivers will reimburse a Member an incentive payment based on the following table for purchase by one of its Rural Customers of a new Touchstone Energy® Certified residence that includes a Qualified System. The incentive paid for each residence for a Qualified System of one of the following types shall be:

Geothermal Heat Pump (ground coupled heat pump)	\$ 2,000
Air Source Heat Pump	\$ 1,000
Dual Fuel Heat Pump (ASHP w/Gas Backup)	\$ 1,200
Gas Heat	\$ 750

Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012	
ISSUED BY	M Big Rivers	ark A. Bailey, President and Chief Exc Electric Corporation, 201 Third Street	ecutive Officer , Henderson, KY 42420	

	For All Territory Served By Cooperative's Transmission System		
	P.S.C. KY. No.	24	
	Original	SHEET NO.	2.10
Big Rivers Electric Corporation	CANCELLING P.S.	C. KY. No.	
(Name of Utility)		SHEET NO	
DATES TEDI	MS AND CONDITIONS SECTIO	N 1	

DSM-06 (continued)
Touchstone Energy® New Home Program

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of the original Touchstone Energy® Certified residence certification document and supporting documents, and a copy of the receipt or certification from a licensed HVAC contractor verifying installation of the Qualified System on the premises of a Rural Customer in the Member's service area.

#### **Evaluation, Measurement and Verification**

Big Rivers will initiate a process of evaluation, measurement and verification for the program. The process will ensure the quality and effectiveness of the program and optimal use of resources.

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ISSUED BY		Mark A. Bailey, President and Chief Ex	ecutive Officer	

	For All Territory Served By Cooperative's Transmission System			
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RATES, TERMS AND CONDITIONS - SECTION 1

# STANDARD RATE - RDS - Rural Delivery Service - (continued)

#### **DSM-07**

#### Residential and Commercial HVAC & Refrigeration Tune-Up Program

#### Purpose

This program promotes annual maintenance of heating and air conditioning equipment among eligible Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer, for professional cleaning and servicing of the Rural Customer's heating and cooling system.

# Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

### Eligibility

An eligible Rural Customer is a Member's Rural Customer whose heating and cooling system is professionally cleaned and serviced in accordance with this program.

#### **Member Incentives**

Big Rivers will reimburse a Member an incentive payment of \$25 incentive for each residential unit and \$50 for each commercial unit of an eligible Rural Customer in the Member's service area that is professionally cleaned and serviced. The incentive is available for up to three residential units per location, and up to five commercial units per location. The incentive is available once per unit per year. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers a copy of a receipt from a licensed contractor verifying that the heating and cooling system on the premises of an eligible Rural Customer in the Member's service area has been professionally cleaned and serviced.

## Evaluation, Measurement and Verification

DATE OF ISSUE	March 16, 2012	DATE EFFECTIVE	April 16, 2012
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ISSUED BY		lark A. Bailey, President and Chief Exc	
	Rid Rivers	Electric Cornoration, 201 Third Street	Henderson KV 42420

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	P.S.C. KY. No.	24	
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Big Rivers Electric Corporation	CANCELLING P.S.	.C. KY. No.	
(Name of Utility)		SHEET NO	
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#### DSM-08

Commercial / Industrial High Efficiency Lighting Replacement Incentive Program

# Purpose

This program promotes the upgrading of low efficiency commercial or industrial lighting systems by Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer who measurably improves the energy efficiency of a commercial or industrial lighting system.

#### Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

# **Eligibility**

An eligible Rural Customer is a Member's Rural Customer who measurably improves the energy efficiency of a commercial or industrial lighting system in a facility located in the Member's service area in accordance with this program.

#### **Member Incentives**

Big Rivers will pay a Member, for the benefit of its eligible Rural Customer, an incentive payment of \$350 per kW of measurable improvement in energy efficiency of a commercial or industrial lighting system at the facility of a Member's eligible Rural Customer achieved by improvements to an existing commercial or industrial lighting system. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

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ISSUED BY		Mark A Bailey President and Chief Executive Officer	

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DSM-08 (continued)

Commercial / Industrial High Efficiency Lighting Replacement Incentive Program

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers, in the form and detail specified by Big Rivers:

- 1. Information from which the energy efficiency of the existing commercial or industrial lighting system can be calculated;
- 2. Information from which the energy efficiency of the improved commercial or industrial lighting system can be calculated, and the improvement in the energy efficiency of the light system determined:
- 3. Information that documents the purchase and installation of the improvements to the commercial or industrial lighting system, including a copy of the invoice for materials and installation services associated with the project;
- 4. Certification by the Member, or a third party acting on behalf of the Member, of the installation of the lighting system improvements at a Rural Customer's facility in the Member's service area; and
- 5. A copy of the form showing the calculation of the energy efficiency improvements from the lighting system improvements, signed by the Rural Customer.

#### Evaluation, Measurement and Verification

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ISSUED BY		A. Bailey, President and Chief Executive Officer ctric Corporation, 201 Third Street, Henderson, KY 42420	

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#### **DSM-09**

Commercial / Industrial General Energy Efficiency Program

#### Purpose

This program promotes the implementation of energy efficiency projects among Rural Customers by paying a Member an incentive for the benefit of an eligible Rural Customer of the Member who implements an energy efficiency projects at its commercial or industrial facilities.

#### Availability

A DSM program's rates, terms and conditions are available to a Member for its Rural Customers, subject to the limitations and eligibility requirements of this program, and to the rules and regulations of this tariff and the Member's corresponding tariff.

#### Eligibility

An eligible Rural Customer is a Member's Rural Customer who implements an energy efficiency project at its commercial or industrial facilities in Member's service area in accordance with the terms and conditions of this program.

#### **Member Incentives**

Big Rivers will pay a Member, for the benefit of its eligible Rural Customer, an incentive payment of \$350 per kW of measurable improvement in demand reduction achieved by an energy efficiency project implemented by a Member's eligible Rural Customer at the Rural Customer's facility located in the Member's service area. The maximum incentive available per project is \$25,000. Big Rivers will also reimburse a Member's reasonable costs of promoting this program, if the promotional program and its costs are pre-approved by Big Rivers.

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RATES, TERM	MS AND CONDITIONS - SECTIO	N 1	

DSM-09 (continued)

Commercial / Industrial General Energy Efficiency Program

#### **Terms & Conditions**

To qualify for the incentive under this program, a Member must submit to Big Rivers, in the form and detail specified by Big Rivers:

- 1. Information from which the energy efficiency of the existing commercial or industrial facility can be calculated;
- 2. Information from which the energy efficiency of the commercial or industrial facility can be calculated after the completion of the energy efficiency project, and the improvement in the energy efficiency of the commercial or industrial facility can be determined;
- 3. Information that documents the plans and specifications of the energy efficiency project, the purchase, construction or installation of the improvements of the energy efficiency project at the commercial or industrial facility, including a copy of the invoice(s) for materials and installation services associated with the project;
- 4. Certification by the Member, or a third party acting on behalf of the Member, of the installation of the improvements specified in the energy efficiency project at the Rural Customer's facility in the Member's service area; and
- 5. A copy of the form showing the calculation of the demand reduction achieved by the energy efficiency project improvements, signed by the Rural Customer.

# **Evaluation, Measurement and Verification**

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ISSUED BY		Mark A. Bailey, President and Chief Exest Electric Corporation, 201 Third Street	